

Dear Customer,

According to the SFCs August 2021 Circular on the Hong Kong Investor Identification Regime ("HKIDR") and an information document from The Stock Exchange of Hong Kong Limited (the "Stock Exchange"), after the implementation of the HKIDR, all SFC licensed corporations and registered institutions, in the case of relevant regulated intermediaries ("RRI"), are required to assign a unique identification code, namely a Broker-to-Client Assigned Number ("BCAN") to each relevant client who has placed or proposes to place trade orders for securities that have been or from time to time intend to be listed and/or traded on the Stock Exchange's automatic order matching trading system, and for the reported non-automatic order matching orders. For details, please refer to the relevant website of the Stock Exchange:

<https://www.hkex.com.hk/Services/Trading/Securities/Overview/Trading-Mechanism/HKIDR?lang=en>.

For details, please refer to the relevant website of the SFC:

<https://www.sfc.hk/en/Rules-and-standards/Investor-Identification-and-OTC-Securities-Transactions-Reporting>.

Since your company is a licensed corporation and/or registered institution licensed with the Securities and Futures Commission, in order to meet the requirements of the HKIDR, your company is now required to confirm that your securities account(s) with TFI Securities and Futures Limited ("TFISF" / "We") (including the master account and all sub-accounts) are operated by your company as a RRI or a non-RRI

Please choose one :	
<input type="checkbox"/>	<p>Confirm that you belong to the RRI, and your company agrees and confirms that after the implementation of the HKIDR:</p> <p>(1) Your company must attach your company's pre-assigned BCAN to the trading instructions sent to TFISF</p> <p>(2) Your company will be responsible for directly sending the BCAN-client identification data ("CID") matching file ("matching file") to the Stock Exchange and keeping the matching file up to date;</p> <p>(3) Your company will guarantee the accuracy and validity of the BCAN provided to TFISF</p> <p>(4) When you realize that the attached BCAN is incorrect, you are responsible for notifying TFISF as soon as possible so that TFISF can file the error report and provide it to the Stock Exchange in a timely manner;</p> <p>(5) For buy orders on behalf of your clients, clients have agreed to provide client identification information under the HKIDR.</p>

<input type="checkbox"/>	<p>Confirm that your company is a non-RRI, and your company agrees and confirms that after the implementation of the HKIDR:</p> <p>(1) TFISF will assign a BCAN to your securities account ; and attach the BCAN to each trading order issued by your company to the Stock Exchange;</p> <p>(2) TFISF will provide the Stock Exchange with the BCAN and CID assigned to your company.</p>
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Please reply by choosing the above ; signing the letter, sending the signed copy by mail on or before October 31, 2022. If you do not reply before the deadline, we cannot classify your securities account accordingly. After the implementation of the HKIDR, TFISF can only accept sell order until you have confirmed that your are RRI or non-RRI and the assignment of BCAN has been properly arranged. 天風國際 TFI Securities and Futures Limited

If your company has opened multiple accounts in TFISF, please reply separately for each account; if you have sub-accounts under the master account, you are required to reply for the master account. In order to avoid any delay of your company s trading instruction, please reply as soon as possible.

Finally, if your company's status as a RRI has changed in the future, please notify TFISF immediately. If you have any questions, please contact your account manager or our Customer Service Department, Tel: (852) 3187 8788 ; email. cs@tfisec.com

Thank you for your support to our company!

TFI Securities and Futures Limited

The above selection is confirmed by:

Signature and/Company Chop

Client Name:

Account No.:

Date