

Investor/Securities Settlement Instruction (I.S.I./S.I.) Form 投资者/股票交收指示表格

Attn致: TFI Securities and Futures Limited - Settlement Department 天风国际证券与期货有限公司 - 结算部					
Account No. 账户号码		Account Name 账户名称			
I/We hereby instruct your Company to: 本人/吾等特此通知贵公司:					
<input type="checkbox"/> RECEIVE from the respective account as specified below the following securities for my/our securities account in your Company 将下列股票由以下有关账户存入本人/吾等在贵公司持有之证券户口 <input type="checkbox"/> DELIVER the following securities from my/our securities account in your Company to the respective account as specified below 由本人/吾等在贵公司持有之证券户口交付下列股票予下有关账户 Via Central Clearing and Settlement System (CCASS) to effect the settlement in accordance with the following details: 并透过中央结算及交收系统,按以下内容执行交收指示:					
Name of Counter-party 交收对手名称:					
Counter-party/Investor CCASS ID No. 对手经纪/投资者中央结算代号:		Settlement Date 交收日期:			
Contact Person 联络人姓名:		Tel. No. 电话:		Email 电邮:	
Settlement Method 交收方式		<input type="checkbox"/> Free of Payment无须付款 <input type="checkbox"/> Against payment (DVP) 货银对付HKD港币:			
Stock Code 证券代号	Stock Name 证券名称		Shares Quantity 证券数量	Handling charges (Office use only) 手续费(内部使用)	
Remarks 备注: 1. Settlement Instruction received after 12:00 noon will be handled on the next business day. 中午12:00 后收到的证券交收指示, 将于下一个工作日处理。 2. Investor Settlement Instruction received after 3:00 p.m. will be handled on the next business day. 下午3:00 后收到的投资者证券交收指示, 将于下一个工作日处理 3. Charges will be deducted from the captioned account. 交收费用将会从上述账户结余中扣除。 4. Because of some exceptional circumstances (such as due to court order, request of enforcement agency or regulator or other reasonable cause), the TFI hereby reserves the right to refuse or delay to execute the settlement instruction without giving the notice and reason. Client agrees that the TFI shall be not held to be liable in this connection. 因为某些特殊情况(例如由于法院命令、执法或监管机构的要求或其他合理原因), 天风国际证券与期货在此保留权力拒绝或延迟执行交收指令,而无需给予通知及原因。客户同意天风国际证券与期货不需因此而承担责任。					
Signature(s) of Account Holder(s) 客户签名			Date 日期		
For Office Use Only					
Chg Amt \$	AE	S.V.	Maker	Checker	Approver